

### Task 5 (generalized sequential machines and bu-tt)

Let  $G = (Q, \Sigma, \Delta, q_0, F, R)$  be a gsm. Give bu-tts that simulate the run of  $G$

- (a) on the nodes of monadic trees from front to root.
- (b) on the front of trees from left to right.

### Solution for Task 5

- (a) Trees have the form  $\sigma_1(\sigma_2(\dots(\sigma_k(\#))\dots))$  where  $\sigma_1, \dots, \sigma_k \in \Sigma$ . We define

$$M_a = (Q, \Sigma', \Delta', F, R_a)$$

where  $\Sigma' = \{\sigma^{(1)} \mid \sigma \in \Sigma\} \cup \{\#^{(0)}\}$ ,  $\Delta' = \{\delta^{(1)} \mid \delta \in \Delta\} \cup \{\#^{(0)}\}$ , and

$$R_a = \{\sigma(p(x_1)) \rightarrow q(\delta_1(\dots\delta_k(x_1)\dots)) \mid (p\sigma \rightarrow \delta_1\dots\delta_k q) \in R\} \cup \{\# \rightarrow q_0(\#)\}$$

- (b) We extend the usual construction by Bar-Hillel, Perles, and Shamir [1, Theorem 8.1]: We are given some ranked alphabet  $\Sigma'$  and  $\Delta'$  whose symbols of rank 0 are  $\Sigma$  and  $\Delta$ , respectively, and symbols of any other rank are the same. Since  $G$  can replace one input symbol by several output symbols (or none), we introduce a new output symbol  $@_l$  for every output length  $l$  of the rules in  $G$ . Note that  $@_0$  needs to be deleted by the “front” function.

The constructed bu-tt has to guess the previous state at the leaves, since it can only see one input symbol (the leaf) there. At each symbol we annotate a tuple of states from  $G$  as the state of our bu-tt, the left projection represents the source state and the right projection represents the target state in  $G$ . At each inner node, the neighbouring states of the children are then checked to be equal (right component of  $i$ -th child equals left component of  $(i + 1)$ -th child).

We define

$$M_b = (Q \times Q, \Sigma', \Delta' \cup \{\@_l \mid l \in [\max\{|w| \mid (p\alpha \rightarrow wq) \in R]\}], \{(q_0, q_f) \mid q_f \in F\}, R_b)$$

where

$$\begin{aligned} R_b = & \{\alpha \rightarrow (p, q)(\@_l(\delta_1, \dots, \delta_\ell)) \mid p\alpha \rightarrow \delta_1\dots\delta_\ell q \in R\} \\ & \cup \{\sigma((q_0, q_1)(x_1), (q_1, q_2)(x_2), \dots, (q_{k-1}, q_k)(x_k)) \rightarrow (q_0, q_k)(\sigma(x_1, \dots, x_k)) \\ & \mid k \in \mathbb{N}, \sigma \in \Sigma'^{(k)}, q_0, \dots, q_k \in Q\} \end{aligned}$$

### References

- [1] Yehoshua Bar-Hillel, M. Perles, and Eli Shamir. “On Formal Properties of Simple Phrase Structure Grammars”. In: *Zeitschrift für Phonetik, Sprachwissenschaft und Kommunikationsforschung* 14 (Jan. 1, 1961). Reprinted in Y. Bar-Hillel. (1964). *Language and Information: Selected Essays on their Theory and Application*, Addison-Wesley 1964, 116–150, pp. 143–172.