

# Formale Baumsprachen

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## **Task 1 (definition by structural induction)**

Let  $\Sigma$  be a ranked alphabet,  $\xi, \xi_1, \dots, \xi_k \in T_\Sigma$ , and  $\zeta \in T_\Sigma(X_k)$ . Define the following functions by structural induction:

- (a)  $\text{yield}(\xi)$ , the sequence of leaves in  $\xi$  from left to right; and
- (b)  $\zeta[\xi_1, \dots, \xi_k]$ , the tree obtained from  $\zeta$  by replacing every occurrence of  $x_i$  by  $\xi_i$  for every  $i \in \{1, \dots, k\}$ .

In the lecture we defined trees as well-formed expressions. An alternative definition characterises a tree as a tuple  $(t, \varphi)$  where, intuitively,  $t$  is a set of *Gorn addresses* that is closed under certain operations and  $\varphi$  assigns a symbol from some alphabet  $\Delta$  to every element of  $t$ .

- (c) Give a formal definition of trees over  $\Delta$  in the above sense.

Formally define the following characteristics of trees in the sense of Task 1 (c):

- (d) height
- (e) size
- (f) set of positions
- (g) set of subtrees
- (h) label at a position
- (i) subtree at a position

## **Task 2 (proof by structural induction)**

Let  $\Sigma$  be a ranked alphabet and  $H$  be a set. Prove or refute each of the following statements for every  $\xi, \zeta \in T_\Sigma(H)$ , and  $w \in \text{pos}(\xi)$ :

- (a)  $\xi(w) = \xi|_w(\varepsilon)$ ,
- (b)  $(\xi[\zeta]_w)|_w = \zeta$ ,
- (c)  $|\text{pos}(\xi)| = |\text{sub}(\xi)|$ .

## **Task 3 (universal algebra)**

- (a) Recall the following concepts:  $\Sigma$ -algebra,  $\Sigma$ -homomorphism, initial  $\Sigma$ -algebra in a class  $\mathcal{K}$ , and  $\Sigma$ -term algebra.
- (b) Show that the mappings  $\text{height}$ ,  $\text{size}$ , and  $\text{sub}$  (restricted to  $T_\Sigma$ ) are homomorphisms. Start by giving the target algebra for each of them. What is the problem concerning  $\text{sub}$ ?
- (c) Show that the principle of proof by structural induction is correct by applying the above concepts from universal algebra.

**Note.** The tutorial's time may not suffice to present all solutions. Please prepare to ask for the solutions you are most interested in.