

# Statistical Machine Translation of Natural Languages

– Rule Extraction and Training Probabilities –

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“Quantitative Logics and Automata”  
Gohrisch, March, 2013

outline of the talk:

- ▶ Statistical machine translation (recall ...)
- ▶ Modeling with wta and wtt (recall ...)
- ▶ Training
  - ▶ Rule extraction
  - ▶ Training probabilities

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- ▶ source language SL
- ▶ target language TL

find:

translation  $h : SL \rightarrow TL$

e.g.

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machine translation  $\rightsquigarrow$  statistical machine translation (SMT)

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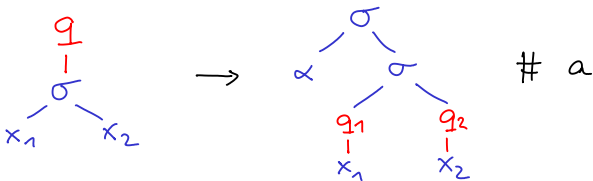
►  $\hat{h}$  and test data  $\longrightarrow$  evaluation  $\longrightarrow$  score

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weighted tree transducer (wtt)  $\mathcal{M} = (Q, \Sigma, \Delta, q_0, R)$

- ▶  $Q$  finite set (states)
- ▶  $\Sigma, \Delta$  finite sets (input- / output-symbols)
- ▶  $q_0 \in Q$  (initial state)
- ▶  $R$  finite set of particular term rewrite rules with weights



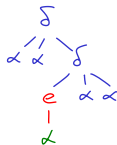
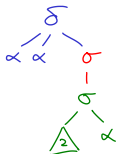
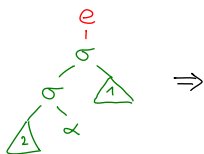
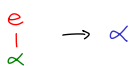
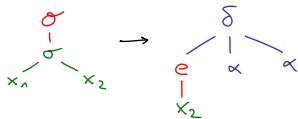
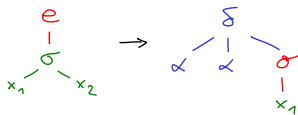
linear, nondeleting in  $x_1, \dots, x_k$

$$\mathcal{M} = (Q, \Sigma, \Delta, e, R)$$

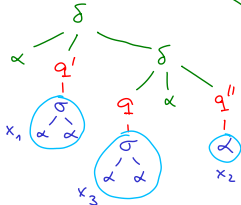
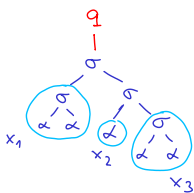
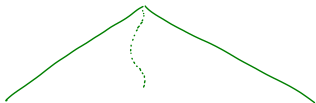
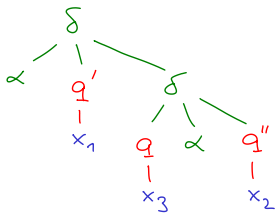
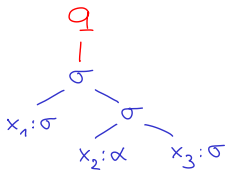
$$Q = \{e, \sigma\}$$

$$\Sigma = \{\sigma^{(2)}, \alpha^{(0)}\}$$

$$\Delta = \{\delta^{(3)}, \alpha^{(0)}\}$$



extended left-hand sides, one-symbol look-ahead:



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$$\mathcal{H} = \{h_{\lambda, \mathcal{M}, \mathcal{A}} \mid \lambda \in \mathbb{R}_{\geq 0}^2, \text{ wtt } \mathcal{M}, \text{ wta } \mathcal{A}\}$$

$$h_{\lambda, \mathcal{M}, \mathcal{A}}(s) = \pi_{\text{TL}}(\operatorname{argmax}_{\substack{(d,r) \in \mathcal{Y}: \\ \pi_{\text{SL}}(d,r)=s}} \text{wt}_{\mathcal{M}}(d)^{\lambda_1} \cdot \text{wt}_{\mathcal{A}}(r)^{\lambda_2})$$

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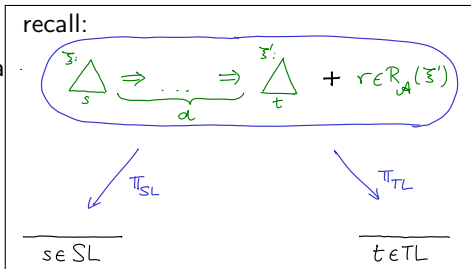
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training data (corpora):

- Hansards of Parliament of Canada, English-French  
2 × 1,278,000
- EUROPARL, Danish, German, English, French, ...,  
1,500,000 / language pair, 50,000,000 words / language
- TIGER (v2.1), German, 50,000 sentences

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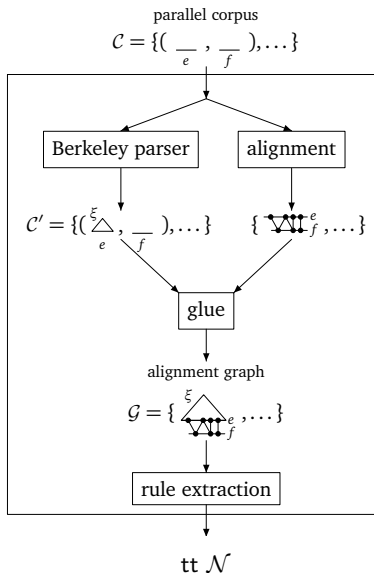
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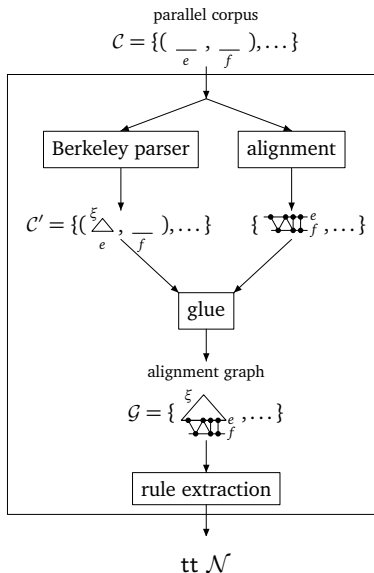
rule extraction:  $\text{tt } \mathcal{N}$

training probabilities: probability assignment  $p : R \rightarrow [0, 1]$

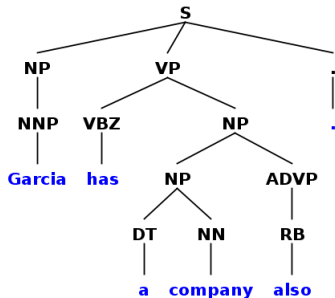
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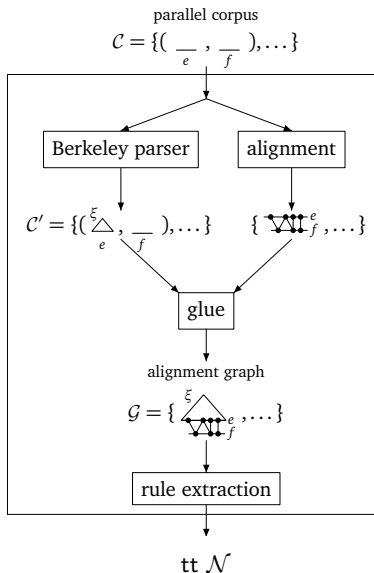


Garcia has a company also .

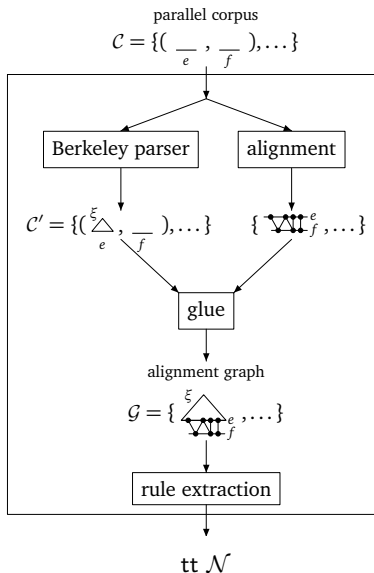




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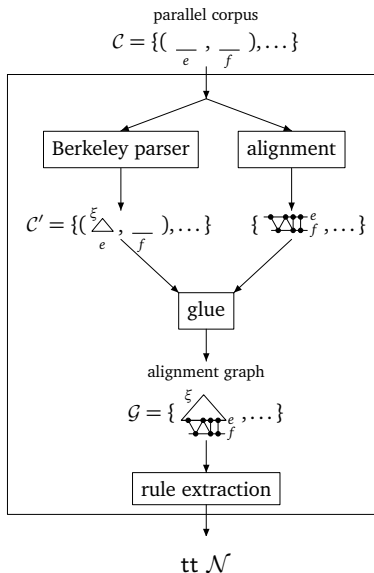
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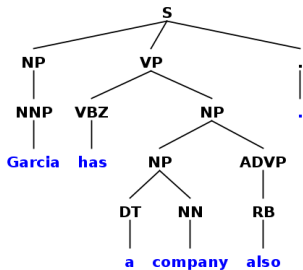
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alignment:

0-0 1-2 2-3 3-4 4-1 5-5

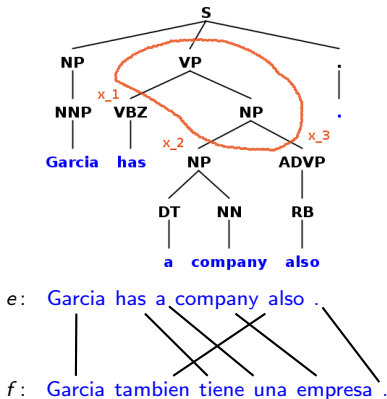
alignment graph:



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alignment graph:



extracted rule for the tt  $\mathcal{N}$  (tree-to-string):

$$q(\text{VP}(x_1 : \text{VBZ}, \text{NP}(x_2 : \text{NP}, x_3 : \text{ADVP}))) \longrightarrow q(x_3) q(x_1) q(x_2)$$

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    - ▶ corpora and maximum-likelihood estimation
    - ▶ Expectation-Maximization algorithm
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random experiment  $(Y, p)$ :

- ▶ finite set  $Y$  (events),
- ▶ distribution  $p$  over  $Y$ :  $p : Y \rightarrow [0, 1], \sum_y p(y) = 1$



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Let  $(Y_1, p_1), (Y_2, p_2)$  two random experiments.

independent product:

$$(Y_1 \times Y_2, p_1 \times p_2)$$

$$(p_1 \times p_2)(y_1, y_2) = p_1(y_1) \cdot p_2(y_2)$$

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- ▶  $Y = \{h, t\} \times \{h, t\}$

- ▶ 

	$h$	$t$
$p_1$	0.4	0.6
$p_2$	0.5	0.5

- ▶ probability model  $\mathcal{B} = \{p_1 \times p_2 \in \mathcal{B}(Y) \mid p_1, p_2 \in \mathcal{B}(\{h, t\})\}$

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- ▶ consider distribution  $p \in \mathcal{B}(\{h, t\} \times \{h, t\})$ :

$$p(h, h) = p(t, t) = 0, \quad p(h, t) = p(t, h) = 0.5$$

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observe:  $p \notin \mathcal{B}$

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corpus:  $c : Y \rightarrow \mathbb{R}_{\geq 0}$

$\text{supp}(c)$  finite!

frequency of  $y$ :  $c(y)$

size of  $c$ :  $|c| = \sum_y c(y)$

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Let  $p \in \mathcal{B}(Y)$  and  $c : Y \rightarrow \mathbb{R}_{\geq 0}$  corpus  
likelihood of  $c$  under  $p$ :

$$L(c, p) = \prod_y p(y)^{c(y)}$$

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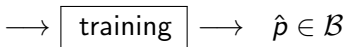
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$$\hat{p} = \operatorname{argmax}_{p \in \mathcal{B}} L(c, p)$$

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$$\hat{p} = \operatorname{argmax}_{p \in \mathcal{B}} L(c, p) = \text{mle}(c, \mathcal{B})$$

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$$\hat{p} = \operatorname{argmax}_{p \in \mathcal{B}} L(c, p) = \text{mle}(c, \mathcal{B})$$

note:  $\text{mle}(c, \mathcal{B}) \in \mathcal{B}$

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(empirical distr.)

$$\text{rfe}(c) : Y \rightarrow [0, 1]$$
$$\text{rfe}(c)(y) = \frac{c(y)}{|c|}$$

$$\text{rfe}(c) \in \mathcal{B}(Y)$$

Example: “tossing of **one** coin”

	$h$	$t$
$c$ :	8	12
$\text{rfe}(c)$	0.4	0.6



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Example: “tossing **two** coins”

	$(h, h)$	$(h, t)$	$(t, h)$	$(t, t)$
$c$ :	0	5	5	0
$\text{rfe}(c)$	0	1/2	1/2	0

$$\text{rfe}(c) \notin \{p_1 \times p_2 \mid p_1, p_2 \in \mathcal{B}(\{h, t\})\}$$

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	$(h, h)$	$(h, t)$	$(t, h)$	$(t, t)$
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for  $i \in \{1, 2\}$ :

	$h$	$t$
$c_i$	5	5
$\text{rfe}(c_i)$	$1/2$	$1/2$

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corpus: 

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$\text{mle}(c, \mathcal{B})$	1/4	1/4	1/4	1/5



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corpus: 

	$(h, h)$	$(t, h)$	$(h, t)$	$(t, t)$
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outline of the talk:

- ▶ Statistical machine translation (recall ...)
- ▶ Modeling with wta and wtt (recall ...)
- ▶ Training
  - ▶ Rule extraction
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    - ▶ random experiments
    - ▶ corpora and maximum-likelihood estimation
    - ▶ **Expectation-Maximization algorithm**
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Example: “tossing two coins and hiding information”

player A:

- ▶ tosses two coins several times,
- ▶ each time she maintains the number of heads, and
- ▶ eventually forms the corresponding corpus  $c : \{0, 1, 2\} \rightarrow \mathbb{R}_{\geq 0}$

Example:  $c(0) = 4$ ,  $c(1) = 9$ ,  $c(2) = 2$

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- ▶ gets a corpus  $c : \{0, 1, 2\} \rightarrow \mathbb{R}_{\geq 0}$  of “observations” and
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$c : \{0, 1, 2\} \rightarrow \mathbb{R}_{\geq 0}$  is incomplete data

set of events:  $Y$   
set of observations:  $X$   
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Example: “tossing two coins and hiding information”

- ▶ set of events  $Y = \{(h, h), (h, t), (t, h), (t, t)\}$
- ▶ set of observations:  $X = \{0, 1, 2\}$
- ▶ observation mapping:

$y$	$(h, h)$	$(h, t)$	$(t, h)$	$(t, t)$
$\pi(y)$	2	1	1	0

set of events:  $Y$

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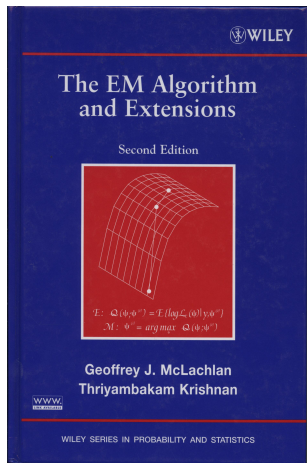
$$\hat{p} = \operatorname{argmax}_{p \in \mathcal{B}} L(c, p) = \operatorname{mle}(c, \mathcal{B})$$

[Dempster, Laird, Rubin 77]

Maximum likelihood from incomplete data via the EM algorithm,  
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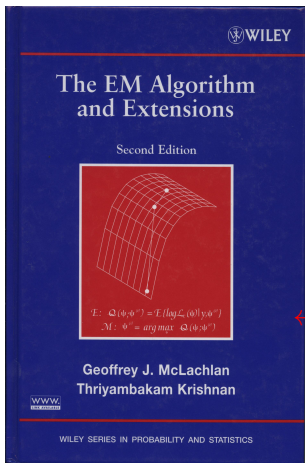
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359 pages, 2008

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$$\begin{aligned} \mathcal{E}: & Q(\psi; \psi^{(k)}) = E_{\psi^{(k)}} \{\log L(c; \psi)\} \\ \mathcal{M}: & \psi^{(k+1)} = \operatorname{argmax}_{\psi} Q(\psi; \psi^{(k)}) \end{aligned}$$

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## Expectation-Maximization algorithm

[Prescher 05]

*input* corpus  $c : X \rightarrow \mathbb{R}_{\geq 0}$ ;  
probability model  $\mathcal{B} \subseteq \mathcal{B}(Y)$ , starting distribution  $p_0 \in \mathcal{B}$ .  
observation mapping  $\pi : Y \rightarrow X$

*output* sequence  $p_1, p_2, p_3 \dots \in \mathcal{B}$

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**for each**  $i = 1, 2, 3, \dots$

**E-step:** compute corpus  $c_{p_{i-1}} : Y \rightarrow \mathbb{R}_{\geq 0}$  expected by  $p_{i-1}$ :

$$c_{p_{i-1}}(y) := c(\pi(y)) \cdot \left( p_{i-1}(y) / \sum_{y': \pi(y') = \pi(y)} p_{i-1}(y') \right)$$

**M-step:** compute maximum-likelihood estimate:

$$p_i := \text{mle}(c_{p_{i-1}}, \mathcal{B})$$

print  $p_i$



Theorem [Dempster, Laird, Rubin 77]

Let  $c : X \rightarrow \mathbb{R}_{\geq 0}$  be a corpus,

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If  $p_1, p_2, p_3, \dots$  is generated by the EM algorithm,

then  $L(c, p_0) \leq L(c, p_1) \leq L(c, p_2) \leq \dots \leq \text{mle}(c, \mathcal{B})$ .

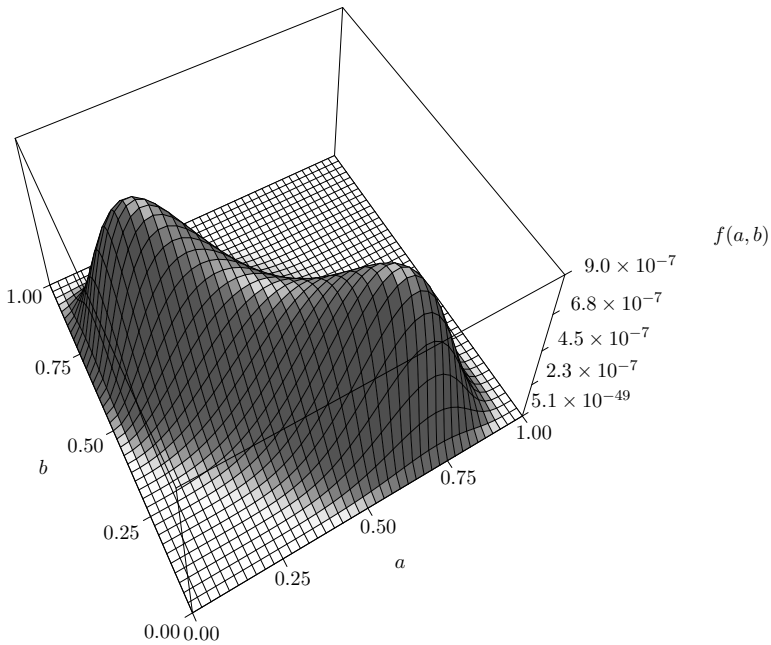
Example: "tossing of two coins and hiding information"

$$c(0) = 4 \quad c(1) = 9 \quad c(2) = 2$$

	run 1	run 2	run 3	run 4
$(p_1^0(h), p_2^0(h))$	(0.200, 0.500)	(0.900, 0.600)	(0.000, 1.000)	(0.400, 0.400)
$(p_1^1(h), p_2^1(h))$	(0.253, 0.613)	(0.648, 0.219)	(0.133, 0.733)	(0.433, 0.433)
$(p_1^2(h), p_2^2(h))$	(0.239, 0.628)	(0.654, 0.213)	(0.165, 0.687)	(0.433, 0.433)
$(p_1^3(h), p_2^3(h))$	(0.228, 0.639)	(0.658, 0.208)	(0.180, 0.679)	(0.433, 0.433)
$(p_1^4(h), p_2^4(h))$	(0.219, 0.648)	(0.661, 0.205)	(0.188, 0.674)	(0.433, 0.433)
$(p_1^5(h), p_2^5(h))$	(0.213, 0.654)	(0.663, 0.204)	(0.193, 0.671)	(0.433, 0.433)
...	...	...	...	...
$(p_1^{20}(h), p_2^{20}(h))$	(0.200, 0.667)	(0.667, 0.200)	(0.200, 0.667)	(0.433, 0.433)

EM-algorithm converges to either of the three distributions:

	$h$	$t$		$h$	$t$		$h$	$t$
$p_1$	1/5	4/5	$p_1$	2/3	1/3	$p_1$	13/30	17/30
$p_2$	2/3	1/3	$p_2$	1/5	4/5	$p_2$	13/30	13/30



outline of the talk:

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Let  $\mathcal{N} = (Q, \Sigma, \Delta, q_0, R)$  extended tree transducer

set of events:  $Y = D_{\mathcal{N}}$  (set of derivations of  $\mathcal{N}$ )

set of observations:  $X = T_{\Sigma} \times T_{\Delta}$

observation mapping:  $\pi : D_{\mathcal{N}} \rightarrow T_{\Sigma} \times T_{\Delta}$   
 $\pi(d) = (\xi, \zeta)$ : retrieve  $\xi, \zeta$  from  $d$

probability assignment:  $p : Q \rightarrow \mathcal{B}(\text{LHS} \times \text{RHS})$

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probability model:

$$\mathcal{B}_{\mathcal{N}} = \{\tilde{p} \in \mathcal{B}(D_{\mathcal{N}}) \mid \text{probability assignment } p\}$$

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print  $p_i$

some more calculations ... yield ...

**Require:**

corpus  $c : T_\Sigma \times T_\Delta \rightarrow \mathbb{R}_{\geq 0}$  with  $\text{supp}(c) = \{(\xi_1, \zeta_1), \dots, (\xi_n, \zeta_n)\}$   
some initial prob. assignment  $p_0 : R \rightarrow \mathbb{R}_{\geq 0}$

**Ensure:**

approximation of a local maximum or saddle point of

$L(c, \cdot) : \mathbb{R}_{\geq 0}^R \rightarrow \mathbb{R}_{\geq 0}$  with  $L(c, \rho) = \prod_{(\xi, \zeta) \in \text{supp}(c)} P(\xi, \zeta)^{c(\xi, \zeta)}$ .

**Variables:**  $\rho : R \rightarrow \mathbb{R}_{\geq 0}$ ,  $\text{count} : R \rightarrow \mathbb{R}_{\geq 0}$ ,  $\gamma \in \mathbb{R}_{\geq 0}$

**Approach:** compute a sequence of probability assignments  $p_0, p_1, p_2, \dots$   
such that  $L(c, p_i) \leq L(c, p_{i+1})$ .

1: **for all**  $(\xi, \zeta) \in \text{supp}(h)$  **do**

2:     construct the RTG  $\text{Prod}(\xi, \mathcal{N}, \zeta)$

3: **for all**  $i = 1, 2, 3, \dots$  **do**

4:      $\text{count}(\rho) := 0$  for every  $\rho \in R$ ;

5:      $\rho := p_{i-1}$

6:     **for all**  $(\xi, \zeta) \in \text{supp}(h)$  **do**

7:         let  $\mathcal{G} = (\text{Prod}(\xi, \mathcal{N}, \zeta), \rho)$  and  $\text{Prod}(\xi, \mathcal{N}, \zeta) = (N, R, S, R')$ ;

8:         compute  $\text{out}_{\mathcal{G}}$  and  $\text{in}_{\mathcal{G}}$ ;

9:         **for all**  $(A \rightarrow \tau) \in R'$  **do**

10:              $\gamma := \text{out}_{\mathcal{G}}(A) \cdot \rho(\tau(\varepsilon)) \cdot \text{in}_{\mathcal{G}}(\tau)$ ;

11:              $\text{count}(\tau(\varepsilon)) := \text{count}(\tau(\varepsilon)) + c(\xi, \zeta) \cdot \frac{\gamma}{\text{in}_{\mathcal{G}}(S)}$

12:     **for all**  $\rho = (q(l) \rightarrow r) \in R$  **do**

13:          $p_i(\rho) := \text{count}(\rho) \cdot \left( \sum_{\rho' \in R_q} \text{count}(\rho') \right)^{-1}$

14:     output( $p_i$ )

**Require:**

corpus  $c : T_{\Sigma} \times T_{\Delta} \rightarrow \mathbb{R}_{\geq 0}$  with  $\text{supp}(c) = \{(\xi_1, \zeta_1), \dots, (\xi_n, \zeta_n)\}$   
 some initial prob. assignment  $p_0 : R \rightarrow \mathbb{R}_{\geq 0}$

**Ensure:**

approximation of a local maximum or saddle point of

$$L(c, \cdot) : \mathbb{R}_{\geq 0}^R \rightarrow \mathbb{R}_{\geq 0} \text{ with } L(c, \rho) = \prod_{(\xi, \zeta) \in \text{supp}(c)} P(\xi, \zeta)^{c(\xi, \zeta)}.$$

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thanks

set of all distributions over  $Y$ :  $\mathcal{B}(Y)$   
probability model over  $Y$ :  $\mathcal{B} \subseteq \mathcal{B}(Y)$   
corpus:  $c : Y \rightarrow \mathbb{R}_{\geq 0}$   
size of  $c$ :  $|c| = \sum_y c(y)$   
relative frequency estimation:  $\text{rfe}(c)(y) = \frac{c(y)}{|c|}$

likelihood of  $Y$ -corpus  $c$  under  $p \in \mathcal{B}(Y)$ :  
$$L(c, p) = \prod_y p(y)^{c(y)}$$

maximum-likelihood estimation of  $Y$ -corpus  $c$  in  $\mathcal{B} \subseteq \mathcal{B}(Y)$ :  
$$\text{mle}(c, \mathcal{B}) = \operatorname{argmax}_{p \in \mathcal{B}} L(c, p)$$

likelihood of  $X$ -corpus  $c$  under  $p \in \mathcal{B}(Y)$ :  
$$L(c, p) = \prod_x \left( \sum_{y: \pi(y)=x} p(y) \right)^{c(x)}$$

maximum-likelihood estimation of  $X$ -corpus  $c$  in  $\mathcal{B} \subseteq \mathcal{B}(Y)$ :  
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