

# “Training Deterministic Parsers with Non-Deterministic Oracles”

by Yoav Goldberg and Joakim Nivre, 2013

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Seminarvortrag

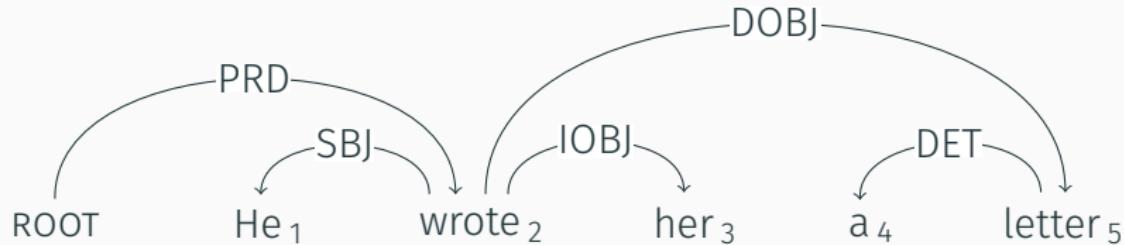
Pius Meinert

July 13, 2018

# Training Deterministic Parsers with Non-Deterministic Oracles

He<sub>1</sub>      wrote<sub>2</sub>      her<sub>3</sub>      a<sub>4</sub>      letter<sub>5</sub>

# Training Deterministic Parsers with Non-Deterministic Oracles



# Transition System

## Definition (Transition System)

A transition system for dependency parsing is a quadruple  
 $S = (C, T, c_s, C_t)$ , where

1.  $C$  is a set (configurations),
2.  $T$  is a set of transitions, each of which is a (partial) function  $t : C \rightarrow C$ ,
3.  $c_s$  is an initialization function, mapping sentence  $w = w_1 w_2 \dots w_n$  to a configuration  $c \in C$ ,
4.  $C_t \subseteq C$  (terminal configurations).

# Training Deterministic Parsers with Non-Deterministic Oracles

He<sub>1</sub>      wrote<sub>2</sub>      her<sub>3</sub>      a<sub>4</sub>      letter<sub>5</sub>

# Training Deterministic Parsers with Non-Deterministic Oracles

ROOT      He<sub>1</sub>      wrote<sub>2</sub>      her<sub>3</sub>      a<sub>4</sub>      letter<sub>5</sub>

$$T c_s(w)$$

[ROOT], [He<sub>1</sub>, wrote<sub>2</sub>, her<sub>3</sub>, a<sub>4</sub>, letter<sub>5</sub>], {}

# Training Deterministic Parsers with Non-Deterministic Oracles

ROOT            He<sub>1</sub>            wrote<sub>2</sub>            her<sub>3</sub>            a<sub>4</sub>            letter<sub>5</sub>

[ROOT], [He<sub>1</sub>, wrote<sub>2</sub>, her<sub>3</sub>, a<sub>4</sub>, letter<sub>5</sub>]

↑  
SHIFT

[ROOT, He<sub>1</sub>], [wrote<sub>2</sub>, her<sub>3</sub>, a<sub>4</sub>, letter<sub>5</sub>]

# Training Deterministic Parsers with Non-Deterministic Oracles

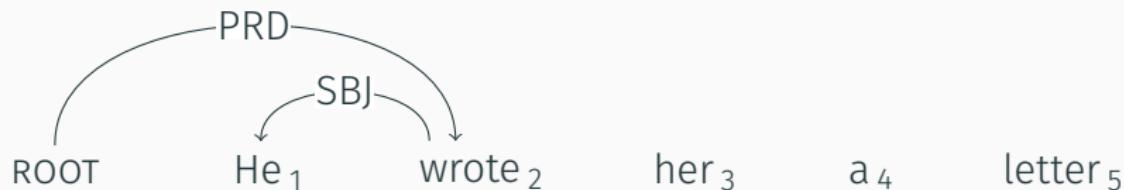


[ROOT, He<sub>1</sub>], [wrote<sub>2</sub>, her<sub>3</sub>, a<sub>4</sub>, letter<sub>5</sub>]

T  
LEFT<sub>SBJ</sub>

[ROOT], [wrote<sub>2</sub>, her<sub>3</sub>, a<sub>4</sub>, letter<sub>5</sub>]

# Training Deterministic Parsers with Non-Deterministic Oracles

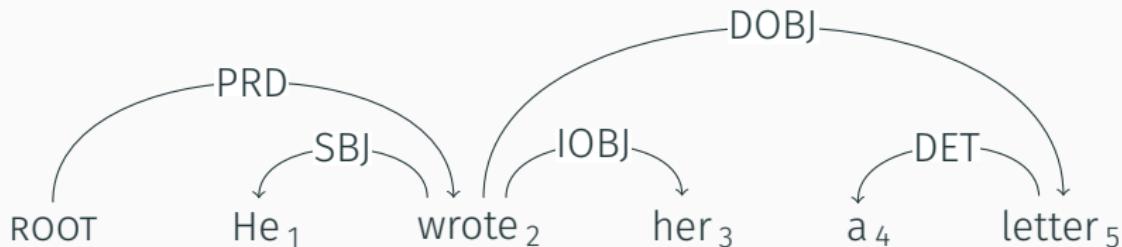


$[\text{ROOT}], [\text{wrote}_2, \text{her}_3, \text{a}_4, \text{letter}_5]$

T  
RIGHT<sub>PRD</sub>

$[\text{ROOT}, \text{wrote}_2], [\text{her}_3, \text{a}_4, \text{letter}_5]$

# Training Deterministic Parsers with Non-Deterministic Oracles



$[\text{ROOT}, \text{wrote}_2], [\text{her}_3, \text{a}_4, \text{letter}_5]$

$\vdash \text{RIGHT}_{\text{IOBJ}}, \text{SHIFT}, \text{LEFT}_{\text{DET}}, \text{REDUCE}, \text{RIGHT}_{\text{DOB}}$

$[\text{ROOT}, \text{wrote}_2, \text{letter}_5], [] \in C_t$

# Training Deterministic Parsers with Non-Deterministic **Oracles**

```
1 if c = ( $\sigma | i, j | \beta, A$ ) and  $(j, i) \in T$  then
2     t  $\leftarrow$  LEFT
3 else if c = ( $\sigma | i, j | \beta, A$ ) and  $(i, j) \in T$  then
4     t  $\leftarrow$  RIGHT
5 else if c = ( $\sigma | i, j | \beta, A$ ) and  $\exists k [k < i \wedge [(k, j) \in T \vee (j, k) \in T]]$ 
    then
6     t  $\leftarrow$  REDUCE
7 else
8     t  $\leftarrow$  SHIFT
9 return t
```

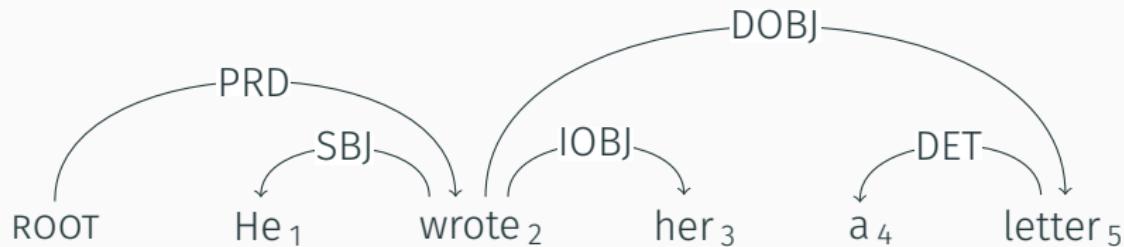
# Greedy Classifier-based Parsing

```
1
2       $c \leftarrow c_s(\textcolor{brown}{w})$ 
3      while  $c \notin C_t$  do
4           $t_p \leftarrow \arg \max_{t \in \text{LEGAL}(c)} w \cdot \phi(c, t)$ 
5
6
7
8
9
10
11          $c \leftarrow t_p(c)$ 
12 return  $\textcolor{brown}{A}_c$ 
```

# Training Deterministic Parsers with Non-Deterministic Oracles

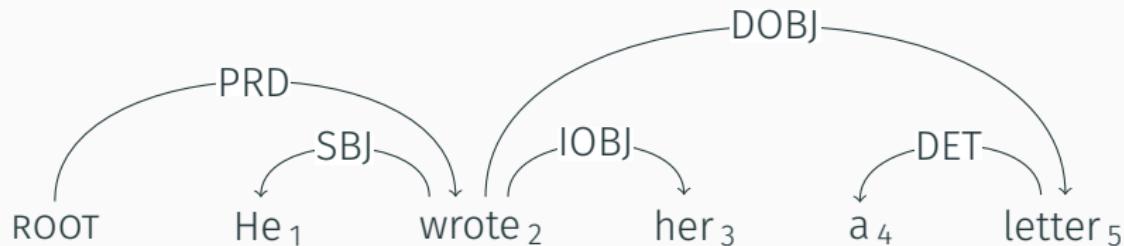
```
1 for  $(w, T) \in \mathcal{D}$  do
2      $c \leftarrow c_s(w)$ 
3     while  $c \notin C_t$  do
4          $t_p \leftarrow \arg \max_{t \in \text{LEGAL}(c)} w \cdot \phi(c, t)$ 
5          $\text{CORRECT}(c) \leftarrow \{t \mid o(t; c, T) = \text{true}\}$ 
6          $t_o \leftarrow \arg \max_{t \in \text{CORRECT}(c)} w \cdot \phi(c, t)$ 
7         if  $t_p \notin \text{CORRECT}(c)$  then
8             UPDATE( $w, \phi(c, t_o), \phi(c, t_p)$ )
9              $c \leftarrow t_o(c)$ 
10        else
11             $c \leftarrow t_p(c)$ 
12 return  $w$ 
```

# Training Deterministic Parsers with Non-Deterministic Oracles



SH, LA<sub>SBJ</sub>, RA<sub>PRD</sub>, RA<sub>I OBJ</sub>, SH, LA<sub>DET</sub>, RE, RA<sub>DOBJ</sub>

# Training Deterministic Parsers with Non-Deterministic Oracles

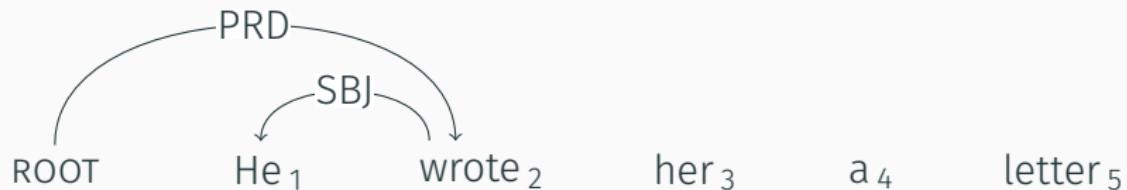


SH, LA<sub>SBJ</sub>, RA<sub>PRD</sub>, RA<sub>IOBJ</sub>, SH, LA<sub>DET</sub>, RE, RA<sub>DOBJ</sub>

SH, LA<sub>SBJ</sub>, RA<sub>PRD</sub>, RA<sub>IOBJ</sub>, RE, SH, LA<sub>DET</sub>, RA<sub>DOBJ</sub>

→ spurious ambiguity requires non-deterministic oracle  
instead of static oracle

## ... with Non-Deterministic and Complete Oracles

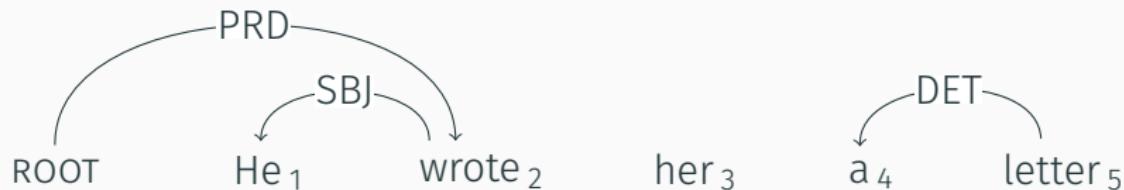


[ROOT], [He<sub>1</sub>, wrote<sub>2</sub>, her<sub>3</sub>, a<sub>4</sub>, letter<sub>5</sub>]

SH, LA<sub>SBJ</sub>, RA<sub>PRD</sub>, SH

[ROOT, wrote<sub>2</sub>, her<sub>3</sub>], [a<sub>4</sub>, letter<sub>5</sub>]

## ... with Non-Deterministic and Complete Oracles



[ROOT, wrote<sub>2</sub>, her<sub>3</sub>], [a<sub>4</sub>, letter<sub>5</sub>]

SH, LA<sub>DET</sub>, SH

[ROOT, wrote<sub>2</sub>, her<sub>3</sub>, letter<sub>5</sub>], []  $\in C_t$

→ error propagation can be mitigated by complete oracle

→ **dynamic oracle**: non-deterministic + complete

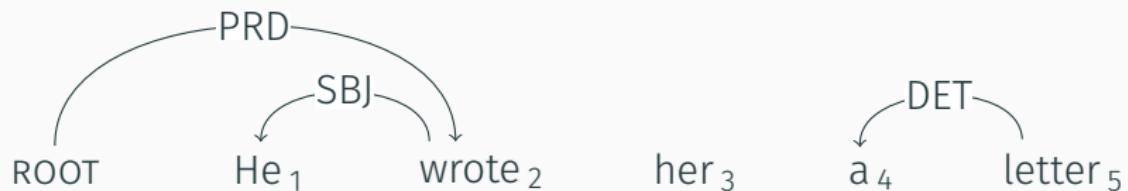
## Training (Standard)

```
1 for  $(w, T) \in d$  do
2      $c \leftarrow c_s(w)$ 
3     while  $c \notin C_t$  do
4          $t_p \leftarrow \arg \max_{t \in \text{LEGAL}(c)} w \cdot \phi(c, t)$ 
5          $\text{CORRECT}(c) \leftarrow \{t \mid o(t; c, T) = \text{true}\}$ 
6          $t_o \leftarrow \arg \max_{t \in \text{CORRECT}(c)} w \cdot \phi(c, t)$ 
7         if  $t_p \notin \text{CORRECT}(c)$  then
8             UPDATE( $w, \phi(c, t_o), \phi(c, t_p)$ )
9              $c \leftarrow t_o(c)$ 
10        else
11             $c \leftarrow t_p(c)$ 
12 return  $w$ 
```

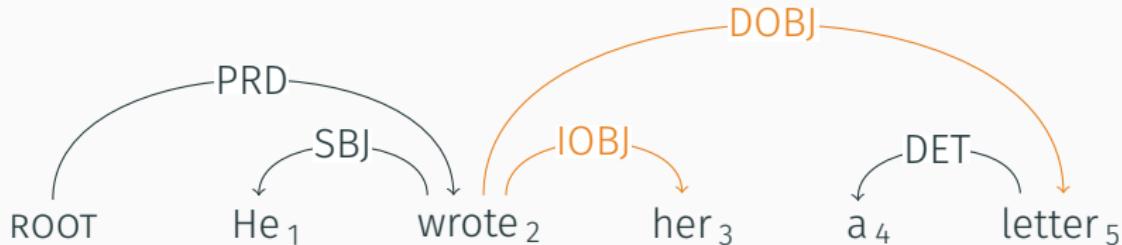
# Training with Exploration

```
1 for  $(w, T) \in d$  do
2      $c \leftarrow c_s(w)$ 
3     while  $c \notin C_t$  do
4          $t_p \leftarrow \arg \max_{t \in \text{LEGAL}(c)} w \cdot \phi(c, t)$ 
5          $\text{OPTIMAL}(c) \leftarrow \{t \mid o(t; c, T) = \text{true}\}$ 
6          $t_o \leftarrow \arg \max_{t \in \text{OPTIMAL}(c)} w \cdot \phi(c, t)$ 
7         if  $t_p \notin \text{OPTIMAL}(c)$  then
8             UPDATE( $w, \phi(c, t_o), \phi(c, t_p)$ )
9              $c \leftarrow \text{EXPLORE}(c, t_o, t_p)$ 
10        else
11             $c \leftarrow t_p(c)$ 
12 return  $w$ 
```

# Optimality / Transition Costs

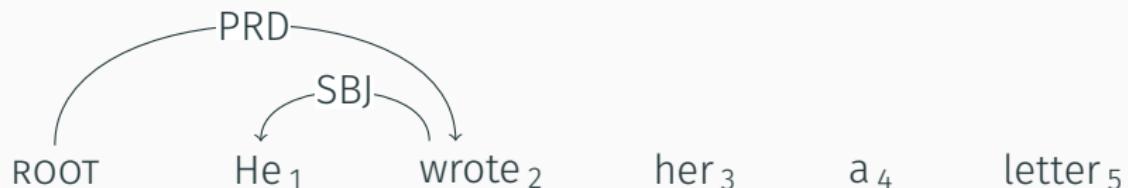


# Optimality / Transition Costs



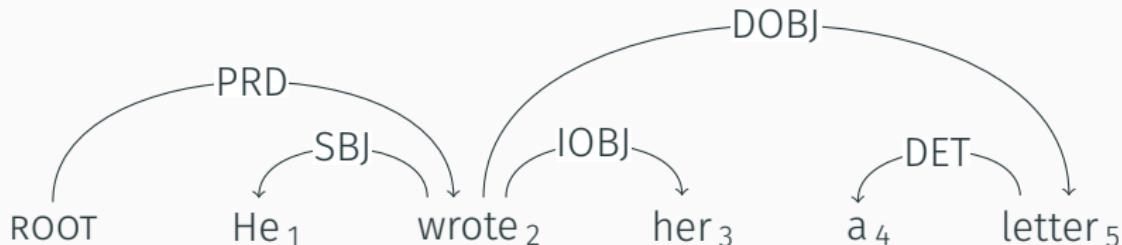
$$\mathcal{C}(A, T) = 2$$

## Optimality / Transition Costs



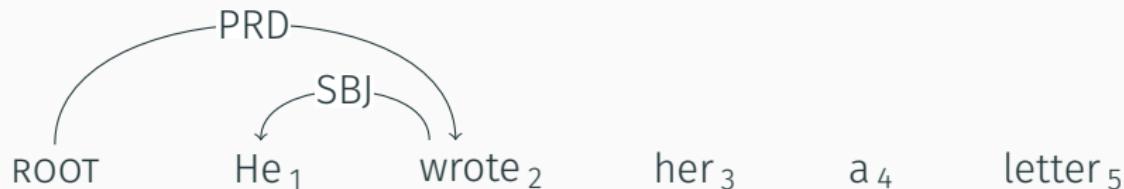
[ROOT, wrote<sub>2</sub>, her<sub>3</sub>], [a<sub>4</sub>, letter<sub>5</sub>]

# Optimality / Transition Costs



$$\min_{A:C \rightsquigarrow A} \mathcal{C}(A, T) = 0$$

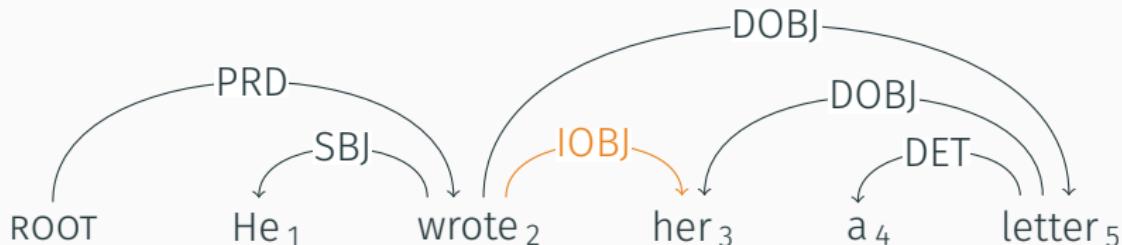
# Optimality / Transition Costs



[ROOT, wrote<sub>2</sub>, her<sub>3</sub>], [a<sub>4</sub>, letter<sub>5</sub>]

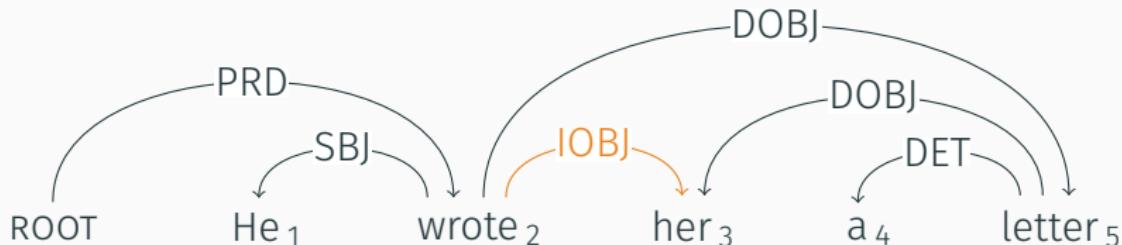
T  
SH, ...

# Optimality / Transition Costs



$$\mathcal{C}(\text{SHIFT}; c, T) = \min_{A:t(c) \rightsquigarrow A} \mathcal{C}(A, T) - \min_{A:c \rightsquigarrow A} \mathcal{C}(A, T) = 1$$

# Optimality / Transition Costs



$$\mathcal{C}(\text{SHIFT}; c, T) = \min_{A:t(c) \rightsquigarrow A} \mathcal{C}(A, T) - \min_{A:c \rightsquigarrow A} \mathcal{C}(A, T) = 1$$

$$o_d(c, T) = \{t \mid \mathcal{C}(t; c, T) = 0\}$$

# Arc Decomposition - Definition

## Definition (Tree Consistency)

A set of arcs  $A$  is said to be *tree consistent* if there exists a projective dependency tree  $T$  such that  $A \subseteq T$ .

## Definition (Arc Decomposition)

A transition system is said to be *arc decomposable* if, for every tree consistent arc set  $A$  and configuration  $c$ ,  $c \rightsquigarrow A$  is entailed by  $c \rightsquigarrow (h, d)$  for every arc  $(h, d) \in A$ .

# Arc Decomposition - Arc-Standard Counterexample

$$c = ([a, b, c], \beta)$$



## Arc-Standard Transitions

$$\text{LEFT}[(\sigma|s_1|s_0, \beta, A)] = (\sigma|s_0, \beta, A \cup \{(s_0, s_1)\})$$

$$\text{RIGHT}[(\sigma|s_1|s_0, \beta, A)] = (\sigma|s_1, \beta, A \cup \{(s_1, s_0)\})$$

$$\text{SHIFT}[(\sigma, b|\beta, A)] = (\sigma|b, \beta, A)$$

# Arc Decomposition - Arc-Standard Counterexample

$$c = ([a, b, c], \beta) \stackrel{\text{LEFT}}{\vdash} ([a, c], \beta)$$



## Arc-Standard Transitions

$$\text{LEFT}[(\sigma|s_1|s_0, \beta, A)] = (\sigma|s_0, \beta, A \cup \{(s_0, s_1)\})$$

$$\text{RIGHT}[(\sigma|s_1|s_0, \beta, A)] = (\sigma|s_1, \beta, A \cup \{(s_1, s_0)\})$$

$$\text{SHIFT}[(\sigma, b|\beta, A)] = (\sigma|b, \beta, A)$$

# Arc Decomposition - Arc-Standard Counterexample

$$c = ([a, b, c], \beta) \xrightarrow{\text{RIGHT}} ([a, b], \beta) \xleftarrow{\text{LEFT}} ([b], \beta)$$



## Arc-Standard Transitions

$$\text{LEFT}[(\sigma|s_1|s_0, \beta, A)] = (\sigma|s_0, \beta, A \cup \{(s_0, s_1)\})$$

$$\text{RIGHT}[(\sigma|s_1|s_0, \beta, A)] = (\sigma|s_1, \beta, A \cup \{(s_1, s_0)\})$$

$$\text{SHIFT}[(\sigma, b|\beta, A)] = (\sigma|b, \beta, A)$$

# Arc Decomposition - Arc-Eager Proof Sketch

**Given:** arbitrary configuration  $c = (\sigma, \beta, A)$  and tree consistent arc set  $A'$  such that all arc are reachable from  $c$ .

**To show:**  $c \rightsquigarrow A'$

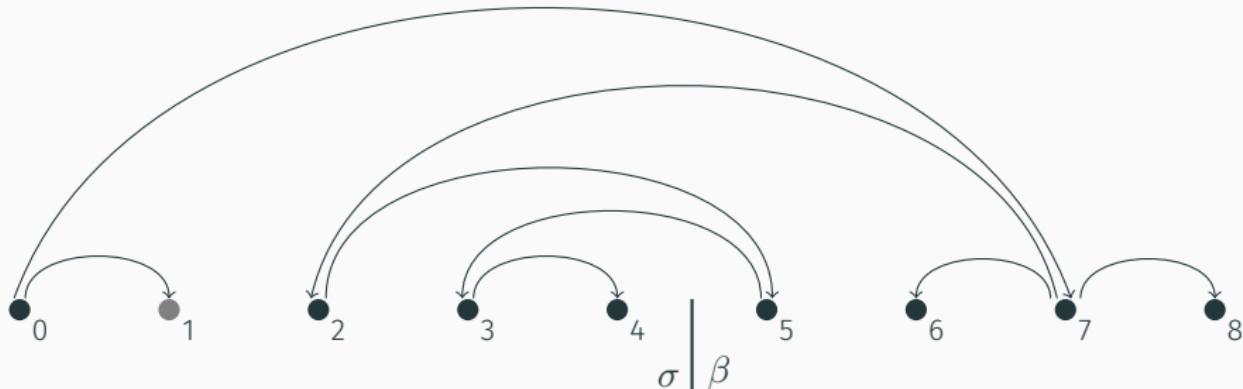
$$\overline{\mathcal{B}} = \{(h, d) \mid h, d \notin \beta\}$$

$$\mathcal{B} = \{(h, d) \mid h, d \in \beta\}$$

$$\mathcal{B}_h = \{(h, d) \mid h \in \beta, d \in \sigma\}$$

$$\mathcal{B}_d = \{(h, d) \mid d \in \beta, h \in \sigma\}$$

# Arc Decomposition - Arc-Eager Proof Sketch



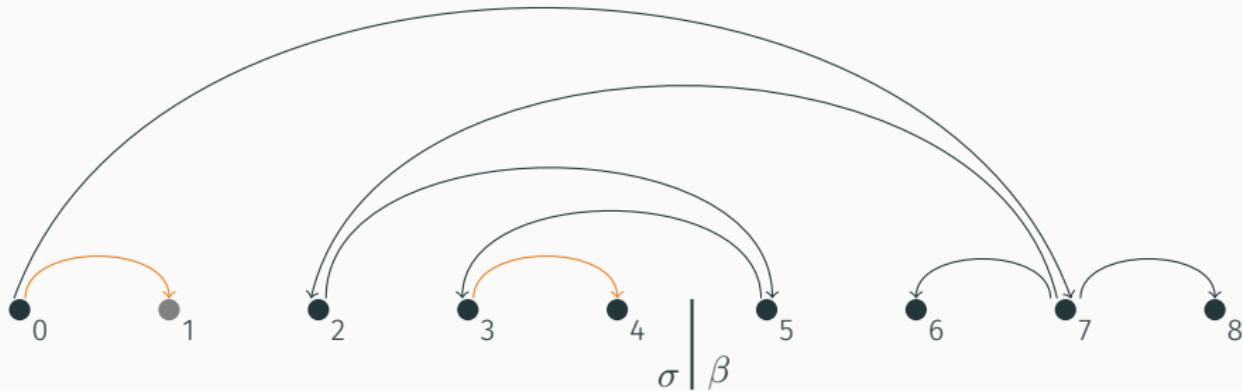
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# Arc Decomposition - Arc-Eager Proof Sketch



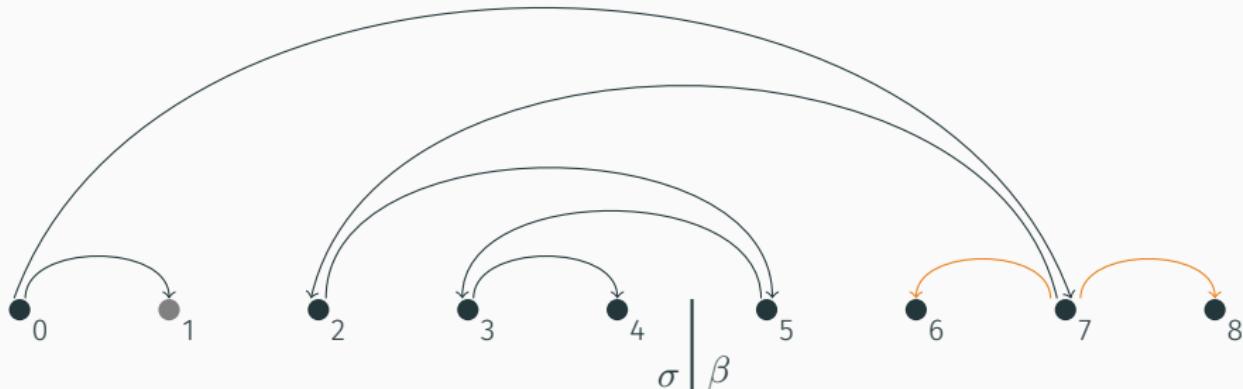
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## Arc Decomposition - Arc-Eager Proof Sketch



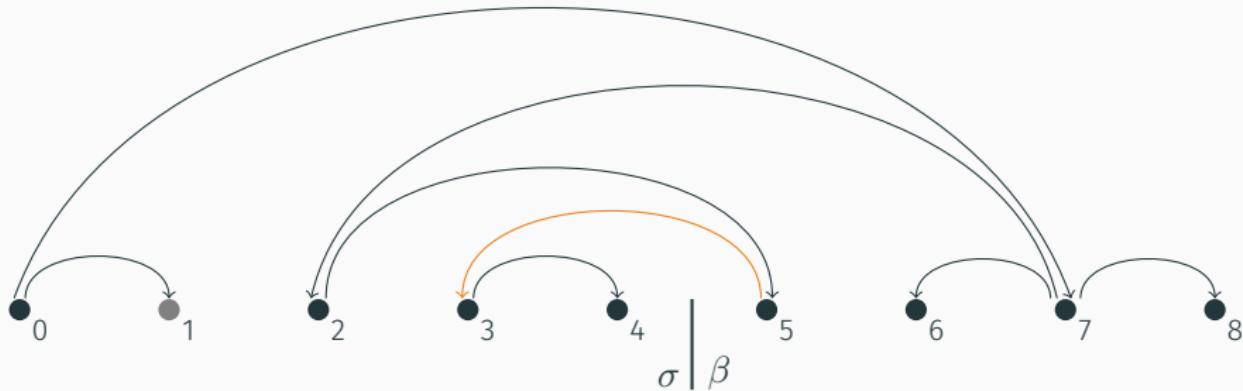
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## Arc Decomposition - Arc-Eager Proof Sketch



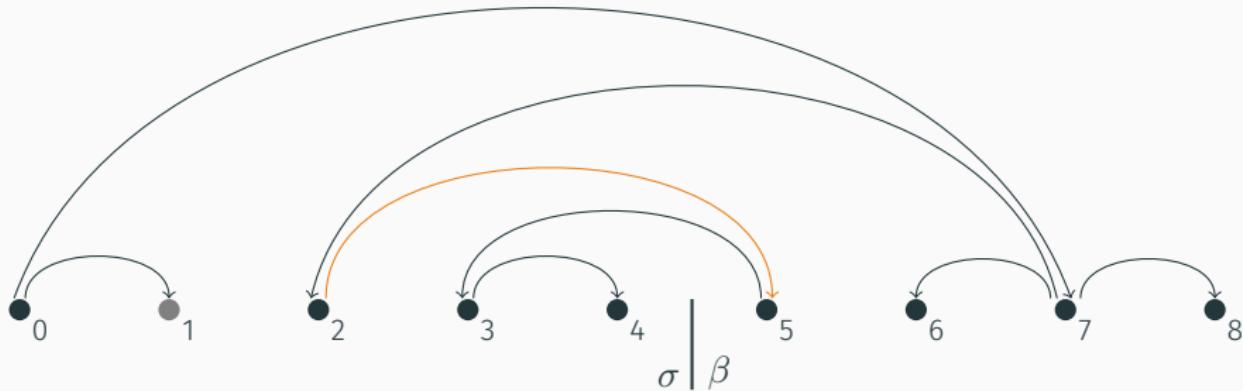
$$\overline{\mathcal{B}} = \{(h, d) \mid h, d \notin \beta\}$$

$$\mathcal{B} = \{(h, d) \mid h, d \in \beta\}$$

$$\mathcal{B}_h = \{(h, d) \mid h \in \beta, d \in \sigma\}$$

$$\mathcal{B}_d = \{(h, d) \mid d \in \beta, h \in \sigma\}$$

## Arc Decomposition - Arc-Eager Proof Sketch



$$\overline{\mathcal{B}} = \{(h, d) \mid h, d \notin \beta\}$$

$$\mathcal{B} = \{(h, d) \mid h, d \in \beta\}$$

$$\mathcal{B}_h = \{(h, d) \mid h \in \beta, d \in \sigma\}$$

$$\mathcal{B}_d = \{(h, d) \mid d \in \beta, h \in \sigma\}$$

# Dynamic Oracle

$$o_d(c, T) = \{t \mid \mathcal{C}(t; c, T) = 0\}$$

$$\mathcal{C}(t; c, T) = \min_{A:t(c) \rightsquigarrow A} \mathcal{C}(A, T) - \min_{A:c \rightsquigarrow A} \mathcal{C}(A, T)$$

Efficiently compute transition costs:

1. Intersect set of individually reachable arcs with goal arc set.
2. Gain set of individually reachable goal arcs and thusly, reachable goal arc set.
3. See how a given transition affects this set of reachable arcs.

# Transition Systems

## ✓ Arc-Eager

- Nivre 2003
- Goldberg and Nivre 2012

## ✗ Arc-Standard

- Nivre 2004
- Goldberg, Sartorio, and Satta 2014

## ✓ Hybrid

- Kuhlmann, Gómez-Rodríguez, and Satta 2011

## ✓ Easy-First

- Goldberg and Elhadad 2010

# Conclusion

- spurious ambiguity
  - static → non-deterministic oracle
- error propagation
  - incomplete → complete oracle
- **dynamic oracle** (non-deterministic + complete)
  - arc decomposability**
- good runtime
  - optimization during training
- experiments show improved accuracy

## References i



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## Transition Costs - Arc-Eager

$$\begin{aligned}\mathcal{C}(\text{SHIFT}; (\sigma, b|\beta, A), T) &= |\{(k, b) \in T \mid k \in \sigma\} \\ &\quad \cup \{(b, k) \in T \mid k \in \sigma \wedge \forall x \in V : (x, k) \notin A\}| \\\mathcal{C}(\text{RIGHT}; (\sigma|s, b|\beta, A), T) &= |\{(k, b) \in T \mid k \in \sigma \cup \beta\} \\ &\quad \cup \{(b, k) \in T \mid k \in \sigma \wedge \forall x \in V : (x, k) \notin A\}| \\\mathcal{C}(\text{LEFT}; (\sigma|s, b|\beta, A), T) &= |\{(k, s) \in T \mid k \in \beta\} \cup \{(s, k) \in T \mid k \in \beta\}| \\\mathcal{C}(\text{REDUCE}; (\sigma|s, \beta, A), T) &= |\{(s, k) \in T \mid k \in \beta\}|\end{aligned}$$

## Transition Costs - Hybrid

$$\mathcal{C}(\text{SHIFT}; (\sigma|s_1|s_0, b|\beta, A), T) = |\{(b, k) \in T \mid k \in \{s_0, s_1\} \cup \sigma\} \\ \cup \{(k, b) \in T \mid k \in \{s_1\} \cup \sigma\}|$$

$$\mathcal{C}(\text{RIGHT}; (\sigma|s_1|s_0, \beta, A), T) = |\{(s_0, k) \in T \mid k \in \beta\} \\ \cup \{(k, s_0) \in T \mid k \in \beta\}|$$

$$\mathcal{C}(\text{LEFT}; (\sigma|s_1|s_0, b|\beta, A), T) = |\{(s_0, k) \in T \mid k \in \{b\} \cup \beta\} \\ \cup \{(k, s_0) \in T \mid k \in \{s_1\} \cup \beta\}|$$

## Transition Costs - Easy-First

$$\mathcal{C}(\text{TR}; (\lambda, A), T) = |\{(h', d) \in T \mid h' \in \lambda \wedge h' \neq h\} \cup \{(d, d') \in T \mid d' \in \lambda\}|$$

$\text{TR} \in \{\text{LEFT}_{lb}^i \mid 1 < i \leq |\lambda|\} \cup \{\text{RIGHT}_{lb}^i \mid 1 \leq i < |\lambda|\}$  and  $(h, d)$  added by  $\text{TR}$